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SUBMIT DIRECTLY TO THE OFFICE OF PUBLIC RECORDS IN 232 HART BUILDING

Purpose of Amendment (describe the reason for amending original submission): The final travel submission to the Office of Public Records must include all of the most up-to-date copies of every form submitted for pre-travel. The final versions of the PSTCF and itinerary were not included.

(Signature of Traveler)

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM

This form must be completed by any private entity offering to provide travel or reimbursement for travel to Senate Members, officers, or employees (Senate Rule 35, clause 2). Each sponsor of a fact-finding trip must sign the completed form. The trip sponsor(s) must provide a copy of the completed form to each invited Senate traveler, who will then forward it to the Ethics Committee with any other required materials. The trip sponsor(s) should **NOT** submit the form directly to the Ethics Committee. Please consult the accompanying instructions for more detailed definitions and other key information.

The Senate Member, officer, or employee **MUST** also provide a copy of this form, along with the appropriate travel authorization and reimbursement form, to the Office of Public Records (OPR), Room 232 of the Hart Building, within thirty (30) days after the travel is completed.

-
- IP Investment Institute LLC
1. Sponsor(s) of the trip (please list all sponsors): _____
 2. Description of the trip: Attending the 2017 IP Dealmakers Forum as a speaker
 3. Dates of travel: 11/15/17 - 11/16/17
 4. Place of travel: New York, New York
 5. Name and title of Senate invitees: Jamie Simpson, Counsel Detailee to the Senate Judiciary Committee
 6. I *certify* that the trip fits one of the following categories:
 - ☒ (A) The sponsor(s) are not registered lobbyists or agents of a foreign principal **and** do not retain or employ registered lobbyists or agents of a foreign principal **and** no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.
 - OR –
 - ☐ (B) The sponsor or sponsors are not registered lobbyists or agents of a foreign principal, but retain or employ one or more registered lobbyists or agents of a foreign principal and the trip meets the requirements of Senate Rule 35.2(a)(2)(A)(i) or (ii) (*see question 9*).
 7. ☒ I *certify* that the trip will not be financed in any part by a registered lobbyist or agent of a foreign principal.
 - AND –
 - ☒ I *certify* that the sponsor or sponsors will not accept funds or in-kind contributions earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal.
 8. I *certify* that:
 - ☒ The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or agent of a foreign principal except for *de minimis* lobbyist involvement.
 - AND –
 - ☒ The traveler will not be accompanied on the trip by a registered lobbyist or agent of a foreign principal except as provided for by Committee regulations relating to lobbyist accompaniment (*see question 9*).

9. **USE ONLY IF YOU CHECKED QUESTION 6(B)**

I *certify* that if the sponsor or sponsors retain or employ one or more registered lobbyists or agents of a foreign principal, one of the following scenarios applies:

☐ (A) The trip is for attendance or participation in a one-day event (exclusive of travel time and one overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip.

– OR –

☐ (B) The trip is for attendance or participation in a one-day event (exclusive of travel time and two overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip (*see questions 6 and 10*).

– OR –

☐ (C) The trip is being sponsored only by an organization or organizations designated under § 501(c)(3) of the Internal Revenue Code of 1986 and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.

10. **USE ONLY IF YOU CHECKED QUESTION 9(B)**

If the trip includes two overnight stays, please explain why the second night is practically required for Senate invitees to participate in the travel:

11. ☒ An itinerary for the trip is attached to this form. I *certify* that the attached itinerary is a detailed (hour-by-hour), complete, and final itinerary for the trip.

12. Briefly describe the role of each sponsor in organizing and conducting the trip:

The IP Investment Institute LLC is the company that organizes the IP Dealmakers Forum and is the sole sponsor of this trip.

13. Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission:

The mission of the IP Investment Institute LLC is to provide education for intellectual property executives. Having Jamie Simpson as a speaker will help executives understand legislative developments in this area.

14. Briefly describe each sponsor's prior history of sponsoring congressional trips:

This is the first time IP Investment Institute LLC will be sponsoring a congressional trip.

15. Briefly describe the educational activities performed by each sponsor (other than sponsoring congressional trips):

The IP Investment Institute LLC plans the IP Dealmakers Forum and sends out educational newsletters to intellectual property executives.

16. Total Expenses for Each Participant:

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses
<input checked="" type="checkbox"/> Good Faith estimate	\$238.00	\$238.10	\$74.00	
<input type="checkbox"/> Actual Amounts				

17. State whether a) the trip involves an event that is arranged or organized *without regard* to congressional participation or b) the trip involves an event that is arranged or organized *specifically with regard* to congressional participation:

The trip involves an event that is arranged or organized without regard to congressional participation.

18. Reason for selecting the location of the event or trip

The IP Dealmakers Forum has been held in New York City for 4 years since it is a convenient location for business professionals.

19. Name and location of hotel or other lodging facility:

The Redbury New York

20. Reason(s) for selecting hotel or other lodging facility:

Located near the Apella event center where the conference is being held

21. Describe how the daily expenses for lodging, meals, and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel:

The daily expenses for lodging and meals are within the per diem rates for official

Federal Government travel.

22. Describe the type and class of transportation being provided. Indicate whether coach, business-class or first class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary:

Amtrak regional service business class seat will be provided.

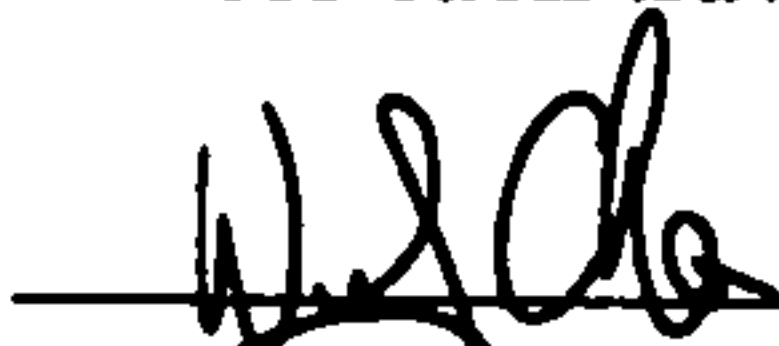
23. ☒ I represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures for recreational activities, alcohol, or entertainment (other than entertainment provided to all attendees as an integral part of the event, as permissible under Senate Rule 35).

24. List any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why the entertainment is an integral part of the event:

N/A

25. I hereby *certify* that the information contained herein is true, complete and correct. (You must include the completed signature block below for each travel sponsor.):

Signature of Travel Sponsor:



Name and Title: Wendy Chou, Partner

Name of Organization: IP Investment Institute LLC

Address: PO Box 962, Holbrook, NY 11741

Telephone Number: (718) 812-6707

Fax Number: N/A

E-mail Address: wendy@ipdealmakersforum.com



**Itinerary for Jamie Simpson
Counsel Detailee, Senate Judiciary Committee**

DAY ONE: WEDNESDAY, NOVEMBER 15TH

12:05pm

Amtrak Regional Service travel departure from DC

3:20pm

Amtrak Regional Service arrival at Penn Station in NYC

6:30pm – 9:30pm

Opening Reception with Introductory Remarks by IP Dealmakers Chairperson with a review of next day's activities. Opportunity to meet industry leaders in intellectual property community.

Location: Noble Room at PS450

DAY TWO: THURSDAY, NOVEMBER 16TH

Location: All sessions and reception to be held at Apella Event Center

7:15am - 8:15am

Registration & Breakfast

8:15am - 8:30am

Opening Remarks by IP Dealmakers Chairperson

8:30am - 9:30am

Panel Discussion

IP MARKET ROUNDUP: ANNUAL REVIEW & OUTLOOK

- IP Transactions Data and Key Players
- New and Changing Business Models
- What Drove Activity in 2017
- Top Predictions for 2018

Speakers

Michael Nicolas, Co-Founder & Managing Director, Longford Capital

Michael Shore, Partner, Shore Chan DePumpo

Erich Spangenberg, Managing Director, SK14 Advisors S.a.r.l.

Gerhard Tschiedel, Senior Licensing Counsel, Siemens AG

Fred Fabricant, Partner, Brown Rudnick (moderator)

9:30am - 10:15am



Dealmaker Deepdive

EXAMINING IP POLICY UNDER TRUMP

- Changes at the USPTO
- Legislative and Executive Actions: Review and Predictions
- Interplay with Tax and Trade Policies

Speakers

Ashley Keller, Managing Director, **Burford Capital**

Jamie Simpson, Counsel Detailee, Senate Judiciary Committee, **U.S. Senate** (invited)

10:15am - 10:45am

Networking Break

10:45am – 12:00pm

Panel Discussion

IS PURE PATENT LICENSING DEAD? BACK TO BASICS OR GOING BACKWARDS?

- Business Model: Feature Licensing or Full Product Enablement
- Patents Plus: Packaging Know-how and Show-how
- Knowing How to Optimize Profits
- Moving from a Knowledge Economy Back to Manufacturing?

Speakers

Paul Davis, General Counsel, **Xperi**

William Merritt, President & CEO, **InterDigital**

Michael Petracci, Managing Director, **GE Licensing & Technology Ventures**

Jim Skippen, President & CEO, **WILAN**

Wayne Sobon, VP of Intellectual Property, **Pax Labs**

Michael Renaud, Member, **Mintz Levin** (moderator)

12:00pm – 12:30pm

Keynote Session

THE REAL IP MARKET IN CHINA: PAST, PRESENT, AND FUTURE

In conversation with David Pridham, CEO of Dominion Harbor Group, Dr. Lulin Gao will share his unique perspective on the creation and evolution of China's IP market. For the first time, the audience will also be presented with current data insights from the Chinese IP courts.

Often referred to as the founding father of the modern Chinese IP system, Dr. Gao was the first Commissioner of China's State IP Office, the longest standing Commissioner of the Chinese Patent Office, and a senior advisor to the World IP Organization. He is the recipient of numerous honors and awards for his contributions in IP.

Speakers

Dr. Lulin Gao, Founding Commissioner of China's State IP Office

In Conversation with David Pridham, CEO, Dominion Harbor Group

12:30pm - 2:00pm

Networking Luncheon with Roundtable Discussions. Speakers at tables to lead informal discussions on intellectual property topics.

2:00pm - 3:00pm

Panel Discussion

SUCCESSFUL IP DEALMAKING IN CHINA: THE NUTS AND BOLTS

- Examination of Recent Deals
- Real Opportunities and Challenges for Foreign Companies
- Limitations & Lessons Learned

Speakers

Chris Dubuc, Managing Partner, **Longhorn IP**

Richard Thurston, Of Counsel, **Duane Morris** and former General Counsel, **TSMC**

Marco Tong, Senior Licensing Director, **ZTE** and President, **Inteq**

3:00pm – 3:30pm

Networking Break

3:30pm – 4:15pm

Dealmaker Deepdive

IP MONETIZATION STRATEGY IN EUROPE: PENALTIES AND PAYOUTS

- Cross-Border Litigation: Does it Really Pay
- Dealing with Loser Pays
- Particularities of European Forums: Germany, UK, France, Italy
- UPC Update and Changes to IP Strategy

Speakers

Yann Deitrich, SVP Licensing and IP Counsel, **France Brevets**

Edward Kelly, Partner, **Ropes & Gray**

Thomas Miller, Head of Licensing, **PanOptis**

Abha Divine, Managing Director, **Techquity** (moderator)

4:15pm - 5:30pm

Panel Discussion

THE GREAT DEBATE: HOW TO DEFINE PATENT QUALITY

- Software Patents: Patentability & Enforceability
- Impact of Recent SCOTUS & CAFC Decisions
- PTAB and IPR Update
- Legislative Reform and Other Proposed Fixes
- Innovation & Economic Consequences

Speakers



David Kappos, Partner, Cravath Swaine & former Director of USPTO (moderator)

Networking Reception

Amtrak Regional Service Departs Penn Station in NYC

Amtrak Regional Service Arrives in DC